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(54) Title: NUCLEAR TRANSFER WITH DIFFERENTIATED FETAL AND ADULT DONOR CELLS

### (57) Abstract

An improved method of nuclear transfer involving the transplantation of donor differentiated cell nuclei into enucleated oocytes of the same species as the donor cell is provided. The resultant nuclear transfer units are useful for multiplication of genotypes and transgenic genotypes by the production of fetuses and offspring, and for production of isogenic CICM cells, including human isogenic embryonic or stem cells. Production of genetically engineered or transgenic mammalian embryos, fetuses and offspring is facilitated by the present method since the differentiated cell source of the donor nuclei can be genetically modified and clonally propagated.

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NUCLEAR TRANSFER WITH DIFFERENTIATED FETAL AND ADULT DONOR CELLS

### 1. FIELD OF THE INVENTION

The present invention relates to cloning procedures

in which cell nuclei derived from differentiated fetal or
adult, mammalian cells are transplanted into enucleated
mammalian oocytes of the same species as the donor nuclei.

The nuclei are reprogrammed to direct the development of
cloned embryos, which can then be transferred into

recipient females to produce fetuses and offspring, or
used to produce cultured inner cell mass cells (CICM).

The cloned embryos can also be combined with fertilized
embryos to produce chimeric embryos, fetuses and/or
offspring.

# 2. BACKGROUND OF THE INVENTION

Methods for deriving embryonic stem (ES) cell lines in vitro from early preimplantation mouse embryos are well known. (See, e.g., Evans et al., Nature, 29:154-156 (1981); Martin, Proc. Natl. Acad. Sci., USA, 78:7634-7638 20 (1981)). ES cells can be passaged in an undifferentiated state, provided that a feeder layer of fibroblast cells

15

(Evans et al., *Id.*) or a differentiation inhibiting source (Smith et al., *Dev. Biol.*, 121:1-9 (1987)) is present.

ES cells have been previously reported to possess numerous applications. For example, it has been reported 5 that ES cells can be used as an *in vitro* model for differentiation, especially for the study of genes which are involved in the regulation of early development.

Mouse ES cells can give rise to germline chimeras when introduced into preimplantation mouse embryos, thus 10 demonstrating their pluripotency (Bradley et al., Nature, 309:255-256 (1984)).

In view of their ability to transfer their genome to the next generation, ES cells have potential utility for germline manipulation of livestock animals by using ES 15 cells with or without a desired genetic modification.

Moreover, in the case of livestock animals, e.g., ungulates, nuclei from like preimplantation livestock embryos support the development of enucleated oocytes to term (Smith et al., Biol. Reprod., 40:1027-1035 (1989); 20 and Keefer et al., Biol. Reprod., 50:935-939 (1994)).

This is in contrast to nuclei from mouse embryos which beyond the eight-cell stage after transfer reportedly do

not support the development of enucleated oocytes (Cheong et al, Biol. Reprod., 48:958 (1993)). Therefore, ES cells from livestock animals are highly desirable because they may provide a potential source of totipotent donor nuclei, genetically manipulated or otherwise, for nuclear transfer procedures.

Some research groups have reported the isolation of purportedly pluripotent embryonic cell lines. For example, Notarianni et al., J. Reprod. Fert. Suppl., 10 43:255-260 (1991), reports the establishment of purportedly stable, pluripotent cell lines from pig and sheep blastocysts which exhibit some morphological and growth characteristics similar to that of cells in primary cultures of inner cell masses isolated immunosurgically 15 from sheep blastocysts. Also, Notarianni et al., J. Reprod. Fert. Suppl., 41:51-56 (1990) discloses maintenance and differentiation in culture of putative pluripotential embryonic cell lines from pig blastocysts. Gerfen et al., Anim. Biotech, 6(1):1-14 (1995) discloses 20 the isolation of embryonic cell lines from porcine blastocysts. These cells are stably maintained in mouse embryonic fibroblast feeder layers without the use of

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conditioned medium, and reportedly differentiate into several different cell types during culture.

Further, Saito et al., Roux's Arch. Dev. Biol.,
201:134-141 (1992) reports cultured, bovine embryonic stem
5 cell-like cell lines which survived three passages, but
were lost after the fourth passage. Handyside et al.,
Roux's Arch. Dev. Biol., 196:185-190 (1987) discloses
culturing of immunosurgically isolated inner cell masses
of sheep embryos under conditions which allow for the
10 isolation of mouse ES cell lines derived from mouse ICMs.
Handyside et al. reports that under such conditions, the
sheep ICMs attach, spread, and develop areas of both ES
cell-like and endoderm-like cells, but that after
prolonged culture only endoderm-like cells are evident.

15 Recently, Cherny et al., Theriogenology, 41:175

(1994) reported purportedly pluripotent bovine primordial germ cell-derived cell lines maintained in long-term culture. These cells, after approximately seven days in culture, produced ES-like colonies which stained positive 20 for alkaline phosphatase (AP), exhibited the ability to form embryoid bodies, and spontaneously differentiated

into at least two different cell types. These cells also

reportedly expressed mRNA for the transcription factors OCT4, OCT6 and HES1, a pattern of homeobox genes which is believed to be expressed by ES cells exclusively.

Also recently, Campbell et al., Nature, 380:64-68
5 (1996) reported the production of live lambs following
nuclear transfer of cultured embryonic disc (ED) cells
from day nine ovine embryos cultured under conditions
which promote the isolation of ES cell lines in the mouse.
The authors concluded that ED cells from day nine ovine
10 embryos are totipotent by nuclear transfer and that
totipotency is maintained in culture.

Van Stekelenburg-Hamers et al., Mol. Reprod. Dev.,

40:444-454 (1995), reported the isolation and
characterization of purportedly permanent cell lines from

15 inner cell mass cells of bovine blastocysts. The authors
isolated and cultured ICMs from 8 or 9 day bovine
blastocysts under different conditions to determine which
feeder cells and culture media are most efficient in
supporting the attachment and outgrowth of bovine ICM

20 cells. They concluded that the attachment and outgrowth
of cultured ICM cells is enhanced by the use of STO (mouse
fibroblast) feeder cells (instead of bovine uterus

epithelial cells) and by the use of charcoal-stripped serum (rather than normal serum) to supplement the culture medium. Van Stekelenburg et al reported, however, that their cell lines resembled epithelial cells more than 5 pluripotent ICM cells.

Smith et al., WO 94/24274, published October 27, 1994, Evans et al, WO 90/03432, published April 5, 1990, and Wheeler et al, WO 94/26889, published November 24, 1994, report the isolation, selection and propagation of animal stem cells which purportedly may be used to obtain transgenic animals. Evans et al. also reported the derivation of purportedly pluripotent embryonic stem cells from porcine and bovine species which assertedly are useful for the production of transgenic animals. Further, 15 Wheeler et al, WO 94/26884, published November 24, 1994, disclosed embryonic stem cells which are assertedly useful for the manufacture of chimeric and transgenic ungulates.

Thus, based on the foregoing, it is evident that many groups have attempted to produce ES cell lines, e.g.,

20 because of their potential application in the production of cloned or transgenic embryos and in nuclear transplantation.

The use of ungulate inner cell mass (ICM) cells for nuclear transplantation has also been reported. For example, Collas et al., Mol. Reprod. Dev., 38:264-267 (1994) discloses nuclear transplantation of bovine ICMs by microinjection of the lysed donor cells into enucleated mature occytes. Collas et al. disclosed culturing of embryos in vitro for seven days to produce fifteen blastocysts which, upon transferral into bovine recipients, resulted in four pregnancies and two births.

- 10 Also, Keefer et al., Biol. Reprod., 50:935-939 (1994),
  disclosed the use of bovine ICM cells as donor nuclei in
  nuclear transfer procedures, to produce blastocysts which,
  upon transplantation into bovine recipients, resulted in
  several live offspring. Further, Sims et al., Proc. Natl.
- 15 Acad. Sci., USA, 90:6143-6147 (1993), disclosed the production of calves by transfer of nuclei from short-term in vitro cultured bovine ICM cells into enucleated mature occytes.

The production of live lambs following nuclear

20 transfer of cultured embryonic disc cells has also been reported (Campbell et al., Nature, 380:64-68 (1996)).

Still further, the use of bovine pluripotent embryonic

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cells in nuclear transfer and the production of chimeric fetuses has been reported (Stice et al., Biol. Reprod., 54:100-110 (1996); Collas et al, Mol. Reprod. Dev., 38:264-267 (1994)). Collas et al demonstrated that

- 5 granulosa cells (adult cells) could be used in a bovine cloning procedure to produce embryos. However, there was no demonstration of development past early embryonic stages (blastocyst stage). Also, granulosa cells are not easily cultured and are only obtainable from females.
- 10 Collas et al did not attempt to propagate the granulosa cells in culture or try to genetically modify those cells.

While multiplications of genotypes are possible using embryonic cells as donors, there are problems with current methods. For example, by current methods, embryo cloning 15 can only be done using a limited number of embryonic donor nuclei (less than 100), or with in vitro cell lines. It is unknown whether the embryonic genome encodes a superior genotype until the cloned animal becomes an adult.

There also exist problems in the area of producing

20 transgenic mammals. By current methods, heterologous DNA

is introduced into either early embryos or embryonic cell

lines that differentiate into various cell types in the

fetus and eventually develop into a transgenic animal.

However, many early embryos are required to produce one transgenic animal and, thus, this procedure is very inefficient. Also, there is no simple and efficient

method of selecting for a transgenic embryo before going through the time and expense of putting the embryos into surrogate females. In addition, gene targeting techniques cannot be easily accomplished with early embryo transgenic procedures.

- to select for transgenic cells and perform gene targeting.

  This allows more genetic engineering than is possible with other transgenic techniques. However, embryonic stem cell lines and other embryonic cell lines must be maintained in an undifferentiated state that requires feeder layers and/or the addition of cytokines to media. Even if these precautions are followed, these cells often undergo spontaneous differentiation and cannot be used to produce
- 20 Also, some embryonic cell lines have to be propagated in a way that is not conducive to gene targeting procedures.

transgenic offspring by currently available methods.

Therefore, notwithstanding what has previously been reported in the literature, there exists a need for improved methods of cloning mammalian cells.

## OBJECTS AND SUMMARY OF THE INVENTION

It is an object of the invention to provide novel and improved methods for producing cloned mammalian cells.

It is a more specific object of the invention to provide a novel method for cloning mammalian cells which involves transplantation of the nucleus of a

10 differentiated mammalian cell into an enucleated oocyte of the same species.

It is another object of the invention to provide a method for multiplying adult mammals having proven genetic superiority or other desirable traits.

It is another object of the invention to provide an improved method for producing genetically engineered or transgenic mammals (i.e., embryos, fetuses, offspring).

It is a more specific object of the invention to provide a method for producing genetically engineered or 20 transgenic mammals by which a desired gene is inserted,

removed or modified in a differentiated mammalian cell or cell nucleus prior to use of that differentiated cell or cell nucleus for formation of a NT unit.

It is another object of the invention to provide

5 genetically engineered or transgenic mammals (i.e.,
embryos, fetuses, offspring) obtained by transplantation
of the nucleus of a differentiated cell into an enucleated
oocyte of the same species as the differentiated cell.

It is another object of the invention to provide a

10 novel method for producing mammalian CICM cells which
involves transplantation of a nucleus of a differentiated
cell into an enucleated occyte of the same species as the
differentiated cell.

It is another object of the invention to provide CICM

15 cells produced by transplantation of the nucleus of a

differentiated mammalian cell into an enucleated occyte of

the same species as the differentiated cell.

It is a more specific object of the invention to provide a method for producing human CICM cells which 20 involves transplantation of nuclei of a human cell, e.g., a human adult cell, into an enucleated human oocyte.

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It is another object of the invention to use such CICM cells for therapy or diagnosis.

It is a specific object of the invention to use such CICM cells, including human and ungulate CICM cells, for 5 treatment or diagnosis of any disease wherein cell, tissue or organ transplantation is therapeutically or diagnostically beneficial. The CICM cells may be used within the same species or across species.

It is another object of the invention to use tissues

10 derived from NT embryos, fetuses or offspring, including
human and ungulate tissues, for treatment or diagnosis of
any disease wherein cell, tissue or organ transplantation
is therapeutically or diagnostically beneficial. The
tissues may be used within the same species or across

15 species.

It is another specific object of the invention to use the CICM cells produced according to the invention for the production of differentiated cells, tissues or organs.

It is a more specific object of the invention to use 20 the human CICM cells produced according to the invention for the production of differentiated human cells, tissues or organs.

It is another specific object of the invention to use the CICM cells produced according to the invention in vitro, e.g. for study of cell differentiation and for assay purposes, e.g. for drug studies.

improved methods of transplantation therapy, comprising the usage of isogenic or syngenic cells, tissues or organs produced from the CICM cells produced according to the invention. Such therapies include by way of example treatment of diseases and injuries including Parkinson's, Huntington's, Alzheimer's, ALS, spinal cord injuries, multiple sclerosis, muscular dystrophy, diabetes, liver diseases, heart disease, cartilage replacement, burns, vascular diseases, urinary tract diseases, as well as for the treatment of immune defects, bone marrow transplantation, cancer, among other diseases.

It is another object of the invention to provide genetically engineered or transgenic CICM cells produced by inserting, removing or modifying a desired gene in a 20 differentiated mammalian cell or cell nucleus prior to use of that differentiated cell or cell nucleus for formation of a NT unit.

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It is another object of the invention to use the transgenic or genetically engineered CICM cells produced according to the invention for gene therapy, in particular for the treatment and/or prevention of the diseases and injuries identified, supra.

It is another object of the invention to use the CICM cells produced according to the invention or transgenic or genetically engineered CICM cells produced according to the invention as nuclear donors for nuclear 10 transplantation.

Thus, in one aspect, the present invention provides a method for cloning a mammal (e.g., embryos, fetuses, offspring). The method comprises:

- (i) inserting a desired differentiated mammalian
  15 cell or cell nucleus into an enucleated mammalian oocyte
  of the same species as the differentiated cell or cell
  nucleus, under conditions suitable for the formation of a
  nuclear transfer (NT) unit;
- (ii) activating the resultant nuclear transfer unit;
  20 (iii) culturing said activated nuclear transfer unit
   until greater than the 2-cell developmental stage; and

(iv) transferring said cultured NT unit to a host mammal such that the NT unit develops into a fetus.

The cells, tissues and/or organs of the fetus are advantageously used in the area of cell, tissue and/or 5 organ transplantation.

The present invention also includes a method of cloning a genetically engineered or transgenic mammal, by which a desired gene is inserted, removed or modified in the differentiated mammalian cell or cell nucleus prior to insertion of the differentiated mammalian cell or cell nucleus into the enucleated oocyte.

Also provided by the present invention are mammals obtained according to the above method, and offspring of those mammals.

The present invention is preferably used for cloning ungulates.

In another aspect, the present invention provides a method for producing CICM cells. The method comprises:

(i) inserting a desired differentiated mammalian 20 cell or cell nucleus into an enucleated mammalian oocyte of the same species as the differentiated cell or cell nucleus, under conditions suitable for the formation of a nuclear transfer (NT) unit;

- (ii) activating the resultant nuclear transfer unit;
- (iii) culturing said activated nuclear transfer unit
- 5 until greater than the 2-cell developmental stage; and
  - (iv) culturing cells obtained from said cultured NT unit to obtain CICM cells.

The CICM cells are advantageously used in the area of cell, tissue and organ transplantation.

10 With the foregoing and other objects, advantages and features of the invention that will become hereinafter apparent, the nature of the invention may be more clearly understood by reference to the following detailed description of the preferred embodiments of the invention 15 and to the appended claims.

### DETAILED DESCRIPTION OF THE INVENTION

The present invention provides improved procedures for cloning mammals by nuclear transfer or nuclear transplantation. In the subject application, nuclear transfer or nuclear transplantation or NT are used interchangeably.

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According to the invention, cell nuclei derived from differentiated fetal or adult, mammalian cells are transplanted into enucleated mammalian oocytes of the same species as the donor nuclei. The nuclei are reprogrammed to direct the development of cloned embryos, which can then be transferred into recipient females to produce fetuses and offspring, or used to produce CICM cells. The cloned embryos can also be combined with fertilized embryos to produce chimeric embryos, fetuses and/or offspring.

Prior art methods have used embryonic cell types in cloning procedures. This includes work by Campbell et al (Nature, 380:64-68, 1996) and Stice et al (Biol. Reprod., 54:100-110, 1996). In both of those studies, embryonic cell lines were derived from embryos of less than 10 days of gestation. In both studies, the cells were maintained on a feeder layer to prevent overt differentiation of the donor cell to be used in the cloning procedure. The present invention uses differentiated cells.

It was unexpected that cloned embryos with differentiated donor nuclei could develop to advanced embryonic and fetal stages. The scientific dogma has been

that only embryonic or undifferentiated cell types could direct this type of development. It was unexpected that a large number of cloned embryos could be produced from these differentiated cell types. Also, the fact that new 5 transgenic embryonic cell lines could be readily derived from transgenic cloned embryos was unexpected.

Thus, according to the present invention,
multiplication of superior genotypes of mammals, including
ungulates, is possible. This will allow the

10 multiplication of adult animals with proven genetic superiority or other desirable traits. Progress will be accelerated, for example, in many important ungulate species. By the present invention, there are potentially billions of fetal or adult cells that can be harvested and 15 used in the cloning procedure. This will potentially result in many identical offspring in a short period.

The present invention also allows simplification of transgenic procedures by working with a differentiated cell source that can be clonally propagated. This

20 eliminates the need to maintain the cells in an undifferentiated state, thus, genetic modifications, both random integration and gene targeting, are more easily

accomplished. Also by combining nuclear transfer with the ability to modify and select for these cells in vitro, this procedure is more efficient than previous transgenic embryo techniques. According to the present invention, 5 these cells can be clonally propagated without cytokines, conditioned media and/or feeder layers, further simplifying and facilitating the transgenic procedure. When transfected cells are used in cloning procedures according to the invention, transgenic embryos are 10 produced which can develop into fetuses and offspring. Also, these transgenic cloned embryos can be used to produce CICM cell lines or other embryonic cell lines. Therefore, the present invention eliminates the need to derive and maintain in vitro an undifferentiated cell line 15 that is conducive to genetic engineering techniques.

The present invention can also be used to produce

CICM cells, fetuses or offspring which can be used, for

example, in cell, tissue and organ transplantation. By

taking a fetal or adult cell from an animal and using it

20 in the cloning procedure a variety of cells, tissues and

possibly organs can be obtained from cloned fetuses as

they develop through organogenesis. Cells, tissues, and

organs can be isolated from cloned offspring as well.

This process can provide a source of "materials" for many medical and veterinary therapies including cell and gene therapy. If the cells are transferred back into the

5 animal in which the cells were derived, then immunological rejection is averted. Also, because many cell types can be isolated from these clones, other methodologies such as hematopoietic chimerism can be used to avoid immunological rejection among animals of the same species as well as

10 between species.

Thus, in one aspect, the present invention provides a method for cloning a mammal. In general, the mammal will be produced by a nuclear transfer process comprising the following steps:

- 15 (i) obtaining desired differentiated mammalian cells to be used as a source of donor nuclei;
  - (ii) obtaining oocytes from a mammal of the same species as the cells which are the source of donor nuclei;
    - (iii) enucleating said oocytes;
- 20 (iv) transferring the desired differentiated cell or cell nucleus into the enucleated oocyte, e.g., by fusion or injection, to form NT units;

- (v) activating the resultant NT unit;
- (vi) culturing said activated nuclear transfer unit until greater than the 2-cell developmental stage; and
- (vii) transferring said cultured NT unit to a host.

  5 mammal such that the NT unit develops into a fetus.

The present invention also includes a method of cloning a genetically engineered or transgenic mammal, by which a desired gene is inserted, removed or modified in the differentiated mammalian cell or cell nucleus prior to insertion of the differentiated mammalian cell or cell nucleus into the enucleated oocyte.

Also provided by the present invention are mammals obtained according to the above method, and offspring of those mammals. The present invention is preferably used 15 for cloning ungulates.

The present invention further provides for the use of NT fetuses and NT and chimeric offspring in the area of cell, tissue and organ transplantation.

In another aspect, the present invention provides a 20 method for producing CICM cells. The method comprises:

(i) inserting a desired differentiated mammalian cell or cell nucleus into an enucleated mammalian oocyte

of the same species as the differentiated cell or cell nucleus, under conditions suitable for the formation of a nuclear transfer (NT) unit;

- (ii) activating the resultant nuclear transfer unit;

  5 (iii) culturing said activated nuclear transfer unit
  until greater than the 2-cell developmental stage; and
  - (iv) culturing cells obtained from said cultured NT unit to obtain CICM cells.

The CICM cells are advantageously used in the area of 10 cell, tissue and organ transplantation, or in the production of fetuses or offspring, including transgenic fetuses or offspring.

Preferably, the NT units will be cultured to a size of at least 2 to 400 cells, preferably 4 to 128 cells, and 15 most preferably to a size of at least about 50 cells.

Nuclear transfer techniques or nuclear transplantation techniques are known in the literature and are described in many of the references cited in the Background of the Invention. See, in particular, Campbell et al, Theriogenology, 43:181 (1995); Collas et al, Mol. Report Dev., 38:264-267 (1994); Keefer et al, Biol. Reprod., 50:935-939 (1994); Sims et al, Proc. Natl. Acad.

Sci., USA, 90:6143-6147 (1993); WO 94/26884; WO 94/24274, and WO 90/03432, which are incorporated by reference in their entirety herein. Also, U.S. Patent Nos. 4,944,384 and 5,057,420 describe procedures for bovine nuclear transplantation.

Differentiated mammalian cells are those cells which are past the early embryonic stage. More particularly, the differentiated cells are those from at least past the embryonic disc stage (day 10 of bovine embryogenesis).

10 The differentiated cells may be derived from ectoderm, mesoderm or endoderm.

Mammalian cells, including human cells, may be obtained by well known methods. Mammalian cells useful in the present invention include, by way of example,

15 epithelial cells, neural cells, epidermal cells, keratinocytes, hematopoietic cells, melanocytes, chondrocytes, lymphocytes (B and T lymphocytes), erythrocytes, macrophages, monocytes, mononuclear cells, fibroblasts, cardiac muscle cells, and other muscle cells, etc. Moreover, the mammalian cells used for nuclear transfer may be obtained from different organs, e.g., skin, lung, pancreas, liver, stomach, intestine, heart,

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reproductive organs, bladder, kidney, urethra and other urinary organs, etc. These are just examples of suitable donor cells. Suitable donor cells, i.e., cells useful in the subject invention, may be obtained from any cell or organ of the body. This includes all somatic or germ cells.

Fibroblast cells are an ideal cell type because they can be obtained from developing fetuses and adult animals in large quantities. Fibroblast cells are differentiated 10 somewhat and, thus, were previously considered a poor cell type to use in cloning procedures. Importantly, these cells can be easily propagated in vitro with a rapid doubling time and can be clonally propagated for use in gene targeting procedures. Again the present invention is 15 novel because differentiated cell types are used. The present invention is advantageous because the cells can be easily propagated, genetically modified and selected in vitro.

Suitable mammalian sources for oocytes include sheep,

20 cows, pigs, horses, rabbits, guinea pigs, mice, hamsters,

rats, primates, etc. Preferably, the oocytes will be

obtained from ungulates, and most preferably bovine.

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Methods for isolation of oocytes are well known in the art. Essentially, this will comprise isolating oocytes from the ovaries or reproductive tract of a mammal, e.g., a bovine. A readily available source of 5 bovine oocytes is slaughterhouse materials.

For the successful use of techniques such as genetic engineering, nuclear transfer and cloning, oocytes must generally be matured in vitro before these cells may be used as recipient cells for nuclear transfer, and before 10 they can be fertilized by the sperm cell to develop into an embryo. This process generally requires collecting immature (prophase I) oocytes from mammalian ovaries, e.g., bovine ovaries obtained at a slaughterhouse, and maturing the oocytes in a maturation medium prior to 15 fertilization or enucleation until the oocyte attains the metaphase II stage, which in the case of bovine oocytes generally occurs about 18-24 hours post-aspiration. For purposes of the present invention, this period of time is known as the "maturation period." As used herein for 20 calculation of time periods, "aspiration" refers to aspiration of the immature oocyte from ovarian follicles.

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Additionally, metaphase II stage oocytes, which have been matured in vivo have been successfully used in nuclear transfer techniques. Essentially, mature metaphase II oocytes are collected surgically from either 5 non-superovulated or superovulated cows or heifers 35 to 48 hours past the onset of estrus or past the injection of human chorionic gonadotropin (hCG) or similar hormone.

The stage of maturation of the oocyte at enucleation and nuclear transfer has been reported to be significant

10 to the success of NT methods. (See e.g., Prather et al., Differentiation, 48, 1-8, 1991). In general, successful mammalian embryo cloning practices use the metaphase II stage oocyte as the recipient oocyte because at this stage it is believed that the oocyte can be or is sufficiently

15 "activated" to treat the introduced nucleus as it does a fertilizing sperm. In domestic animals, and especially cattle, the oocyte activation period generally ranges from about 16-52 hours, preferably about 28-42 hours postaspiration.

For example, immature oocytes may be washed in HEPES buffered hamster embryo culture medium (HECM) as described in Seshagine et al., *Biol. Reprod.*, 40, 544-606, 1989, and

then placed into drops of maturation medium consisting of 50 microliters of tissue culture medium (TCM) 199 containing 10% fetal calf serum which contains appropriate gonadotropins such as luteinizing hormone (LH) and 5 follicle stimulating hormone (FSH), and estradiol under a layer of lightweight paraffin or silicon at 39°C.

After a fixed time maturation period, which ranges from about 10 to 40 hours, and preferably about 16-18 hours, the oocytes will be enucleated. Prior to

10 enucleation the oocytes will preferably be removed and placed in HECM containing 1 milligram per milliliter of hyaluronidase prior to removal of cumulus cells. This may be effected by repeated pipetting through very fine bore pipettes or by vortexing briefly. The stripped oocytes

15 are then screened for polar bodies, and the selected metaphase II oocytes, as determined by the presence of polar bodies, are then used for nuclear transfer.

Enucleation follows.

Enucleation may be effected by known methods, such as 20 described in U.S. Patent No. 4,994,384 which is incorporated by reference herein. For example, metaphase II oocytes are either placed in HECM, optionally

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containing 7.5 micrograms per milliliter cytochalasin B, for immediate enucleation, or may be placed in a suitable medium, for example an embryo culture medium such as CR1aa, plus 10% estrus cow serum, and then enucleated later, preferably not more than 24 hours later, and more preferably 16-18 hours later.

Enucleation may be accomplished microsurgically using a micropipette to remove the polar body and the adjacent cytoplasm. The oocytes may then be screened to identify 10 those of which have been successfully enucleated. This screening may be effected by staining the oocytes with 1 microgram per milliliter 33342 Hoechst dye in HECM, and then viewing the oocytes under ultraviolet irradiation for less than 10 seconds. The oocytes that have been 15 successfully enucleated can then be placed in a suitable culture medium, e.g., CR1aa plus 10% serum.

In the present invention, the recipient oocytes will preferably be enucleated at a time ranging from about 10 hours to about 40 hours after the initiation of *in vitro*20 maturation, more preferably from about 16 hours to about 24 hours after initiation of *in vitro* maturation, and most

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preferably about 16-18 hours after initiation of *in vitro* maturation.

A single mammalian cell of the same species as the enucleated oocyte will then be transferred into the 5 perivitelline space of the enucleated oocyte used to produce the NT unit. The mammalian cell and the enucleated oocyte will be used to produce NT units according to methods known in the art. For example, the cells may be fused by electrofusion. Electrofusion is 10 accomplished by providing a pulse of electricity that is sufficient to cause a transient breakdown of the plasma membrane. This breakdown of the plasma membrane is very short because the membrane reforms rapidly. Thus, if two adjacent membranes are induced to breakdown and upon 15 reformation the lipid bilayers intermingle, small channels will open between the two cells. Due to the thermodynamic instability of such a small opening, it enlarges until the two cells become one. Reference is made to U.S. Patent 4,997,384 by Prather et al., (incorporated by reference in 20 its entirety herein) for a further discussion of this process. A variety of electrofusion media can be used including e.g., sucrose, mannitol, sorbitol and phosphate

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buffered solution. Fusion can also be accomplished using Sendai virus as a fusogenic agent (Graham, Wister Inot. Symp. Monogr., 9, 19, 1969).

Also, in some cases (e.g. with small donor nuclei) it 5 may be preferable to inject the nucleus directly into the occyte rather than using electroporation fusion. Such techniques are disclosed in Collas and Barnes, Mol. Reprod. Dev., 38:264-267 (1994), incorporated by reference in its entirety herein.

Preferably, the mammalian cell and oocyte are electrofused in a 500 μm chamber by application of an electrical pulse of 90-120V for about 15 μsec, about 24 hours after initiation of oocyte maturation. After fusion, the resultant fused NT units are then placed in a suitable medium until activation, e.g., CR1aa medium. Typically activation will be effected shortly thereafter, typically less than 24 hours later, and preferably about 4-9 hours later.

The NT unit may be activated by known methods. Such 20 methods include, e.g., culturing the NT unit at subphysiological temperature, in essence by applying a cold, or actually cool temperature shock to the NT unit. This

may be most conveniently done by culturing the NT unit at room temperature, which is cold relative to the physiological temperature conditions to which embryos are normally exposed.

Alternatively, activation may be achieved by application of known activation agents. For example, penetration of oocytes by sperm during fertilization has been shown to activate prefusion oocytes to yield greater numbers of viable pregnancies and multiple genetically identical calves after nuclear transfer. Also, treatments such as electrical and chemical shock may be used to activate NT embryos after fusion. Suitable oocyte activation methods are the subject of U.S. Patent No. 5,496,720, to Susko-Parrish et al., herein incorporated by reference in its entirety.

Additionally, activation may be effected by simultaneously or sequentially:

- (i) increasing levels of divalent cations in the oocyte, and
- 20 (ii) reducing phosphorylation of cellular proteins in the oocyte.

This will generally be effected by introducing divalent cations into the oocyte cytoplasm, e.g., magnesium, strontium, barium or calcium, e.g., in the form of an ionophore. Other methods of increasing divalent cation levels include the use of electric shock, treatment with ethanol and treatment with caged chelators.

Phosphorylation may be reduced by known methods,
e.g., by the addition of kinase inhibitors, e.g., serinethreonine kinase inhibitors, such as 6-dimethyl10 aminopurine, staurosporine, 2-aminopurine, and
sphingosine.

Alternatively, phosphorylation of cellular proteins may be inhibited by introduction of a phosphatase into the oocyte, e.g., phosphatase 2A and phosphatase 2B.

In one embodiment, NT activation is effected by briefly exposing the fused NT unit to a TL-HEPES medium containing  $5\mu$ M ionomycin and 1 mg/ml BSA, followed by washing in TL-HEPES containing 30 mg/ml BSA within about 24 hours after fusion, and preferably about 4 to 9 hours 20 after fusion.

The activated NT units may then be cultured in a suitable in vitro culture medium until the generation of

CICM cells and cell colonies. Culture media suitable for culturing and maturation of embryos are well known in the Examples of known media, which may be used for bovine embryo culture and maintenance, include Ham's F-10 5 + 10% fetal calf serum (FCS), Tissue Culture Medium-199 (TCM-199) + 10% fetal calf serum, Tyrodes-Albumin-Lactate-Pyruvate (TALP), Dulbecco's Phosphate Buffered Saline (PBS), Eagle's and Whitten's media. One of the most common media used for the collection and maturation of 10 oocytes is TCM-199, and 1 to 20% serum supplement including fetal calf serum, newborn serum, estrual cow serum, lamb serum or steer serum. A preferred maintenance medium includes TCM-199 with Earl salts, 10% fetal calf serum, 0.2 mM Na pyruvate and 50  $\mu$ g/ml gentamicin 15 sulphate. Any of the above may also involve co-culture with a variety of cell types such as granulosa cells, oviduct cells, BRL cells and uterine cells and STO cells.

Another maintenance medium is described in U.S.

Patent 5,096,822 to Rosenkrans, Jr. et al., which is

20 incorporated herein by reference. This embryo medium,

named CR1, contains the nutritional substances necessary

to support an embryo.

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CR1 contains hemicalcium L-lactate in amounts ranging from 1.0 mM to 10 mM, preferably 1.0 mM to 5.0 mM.

Hemicalcium L-lactate is L-lactate with a hemicalcium salt incorporated thereon. Hemicalcium L-lactate is

5 significant in that a single component satisfies two major requirements in the culture medium: (i) the calcium requirement necessary for compaction and cytoskeleton arrangement; and (ii) the lactate requirement necessary for metabolism and electron transport. Hemicalcium

10 L-lactate also serves as valuable mineral and energy source for the medium necessary for viability of the embryos.

Advantageously, CR1 medium does not contain serum, such as fetal calf serum, and does not require the use of 15 a co-culture of animal cells or other biological media, i.e., media comprising animal cells such as oviductal cells. Biological media can sometimes be disadvantageous in that they may contain microorganisms or trace factors which may be harmful to the embryos and which are 20 difficult to detect, characterize and eliminate.

Examples of the main components in CR1 medium include hemicalcium L-lactate, sodium chloride, potassium

chloride, sodium bicarbonate and a minor amount of fattyacid free bovine serum albumin (Sigma A-6003).

Additionally, a defined quantity of essential and nonessential amino acids may be added to the medium. CR1

5 with amino acids is known by the abbreviation "CR1aa."

CR1 medium preferably contains the following components in the following quantities:

sodium chloride - 114.7 mM

potassium chloride - 3.1 mM

10 sodium bicarbonate - 26.2 mM

hemicalcium L-lactate - 5 mM

fatty-acid free BSA - 3 mg/ml

In one embodiment, the activated NT embryos unit are placed in CR1aa medium containing 1.9 mM DMAP for about 4 15 hours followed by a wash in HECM and then cultured in CR1aa containing BSA.

For example, the activated NT units may be transferred to CR1aa culture medium containing 2.0 mM DMAP (Sigma) and cultured under ambient conditions, e.g., about 20 38.5°C, 5% CO<sub>2</sub> for a suitable time, e.g., about 4 to 5 hours.

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Afterward, the cultured NT unit or units are preferably washed and then placed in a suitable media, e.g., CR1aa medium containing 10% FCS and 6 mg/ml contained in well plates which preferably contain a 5 suitable confluent feeder layer. Suitable feeder layers include, by way of example, fibroblasts and epithelial cells, e.g., fibroblasts and uterine epithelial cells derived from ungulates, chicken fibroblasts, murine (e.g., mouse or rat) fibroblasts, STO and SI-m220 feeder cell 10 lines, and BRL cells.

In one embodiment, the feeder cells comprise mouse embryonic fibroblasts. Preparation of a suitable fibroblast feeder layer is described in the example which follows and is well within the skill of the ordinary 15 artisan.

The NT units are cultured on the feeder layer until
the NT units reach a size suitable for transferring to a
recipient female, or for obtaining cells which may be used
to produce CICM cells or cell colonies. Preferably, these
20 NT units will be cultured until at least about 2 to 400
cells, more preferably about 4 to 128 cells, and most
preferably at least about 50 cells. The culturing will be

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effected under suitable conditions, i.e., about  $38.5^{\circ}$ C and 5%  $CO_2$ , with the culture medium changed in order to optimize growth typically about every 2-5 days, preferably about every 3 days.

The methods for embryo transfer and recipient animal management in the present invention are standard procedures used in the embryo transfer industry.

Synchronous transfers are important for success of the present invention, i.e., the stage of the NT embryo is in synchrony with the estrus cycle of the recipient female.

This advantage and how to maintain recipients are reviewed in Siedel, G.E., Jr. ("Critical review of embryo transfer procedures with cattle" in Fertilization and Embryonic Development in Vitro (1981) L. Mastroianni, Jr. and J.D.

Biggers, ed., Plenum Press, New York, NY, page 323), the contents of which are hereby incorporated by reference.

The present invention can also be used to clone genetically engineered or transgenic mammals. As explained above, the present invention is advantageous in that transgenic procedures can be simplified by working with a differentiated cell source that can be clonally propagated. In particular, the differentiated cells used

for donor nuclei have a desired gene inserted, removed or modified. Those genetically altered, differentiated cells are then used for nuclear transplantation with enucleated oocytes.

- Any known method for inserting, deleting or modifying a desired gene from a mammalian cell may be used for altering the differentiated cell to be used as the nuclear donor. These procedures may remove all or part of a gene, and the gene may be heterologous. Included is the
- 10 technique of homologous recombination, which allows the insertion, deletion or modification of a gene or genes at a specific site or sites in the cell genome.

The present invention can thus be used to provide
adult mammals with desired genotypes. Multiplication of

15 adult ungulates with proven genetic superiority or other
desirable traits is particularly useful, including
transgenic or genetically engineered animals, and chimeric
animals. Furthermore, cell and tissues from the NT fetus,
including transgenic and/or chimeric fetuses, can be used

20 in cell, tissue and organ transplantation for the

treatment of numerous diseases as described below in

connection with the use of CICM cells.

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For production of CICM cells and cell lines, after NT units of the desired size are obtained, the cells are mechanically removed from the zone and are then used. This is preferably effected by taking the clump of cells 5 which comprise the NT unit, which typically will contain at least about 50 cells, washing such cells, and plating the cells onto a feeder layer, e.g., irradiated fibroblast cells. Typically, the cells used to obtain the stem cells or cell colonies will be obtained from the inner most 10 portion of the cultured NT unit which is preferably at least 50 cells in size. However, NT units of smaller or greater cell numbers as well as cells from other portions of the NT unit may also be used to obtain ES cells and cell colonies. The cells are maintained in the feeder 15 layer in a suitable growth medium, e.g., alpha MEM supplemented with 10% FCS and 0.1 mM ß-mercaptoethanol (Sigma) and L-glutamine. growth medium is changed as often as necessary to optimize growth, e.g., about every 2-3 days.

This culturing process results in the formation of CICM cells or cell lines. One skilled in the art can vary the culturing conditions as desired to optimize growth of

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the particular CICM cells. Also, genetically engineered or transgenic mammalian CICM cells may be produced according to the present invention. That is, the methods described above can be used to produce NT units in which a 5 desired gene or genes have been introduced, or from which all or part of an endogenous gene or genes have been removed or modified. Those genetically engineered or transgenic NT units can then be used to produce genetically engineered or transgenic CICM cells, including 10 human cells.

The resultant CICM cells and cell lines, preferably human CICM cells and cell lines, have numerous therapeutic and diagnostic applications. Most especially, such CICM cells may be used for cell transplantation therapies.

15 Human CICM cells have application in the treatment of numerous disease conditions. Human NT units per se may also be used in the treatment of disease conditions.

In this regard, it is known that mouse embryonic stem

(ES) cells are capable of differentiating into almost any

20 cell type, e.g., hematopoietic stem cells. Therefore,

human CICM cells produced according to the invention

should possess similar differentiation capacity. The CICM

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cells according to the invention will be induced to differentiate to obtain the desired cell types according to known methods. For example, the subject human CICM cells may be induced to differentiate into hematopoietic stem cells, muscle cells, cardiac muscle cells, liver cells, cartilage cells, epithelial cells, urinary tract cells, etc., by culturing such cells in differentiation medium and under conditions which provide for cell differentiation. Medium and methods which result in the differentiation of CICM cells are known in the art as are suitable culturing conditions.

For example, Palacios et al, Proc. Natl. Acad. Sci.,

USA, 92:7530-7537 (1995) teaches the production of

hematopoietic stem cells from an embryonic cell line by

15 subjecting stem cells to an induction procedure comprising

initially culturing aggregates of such cells in a

suspension culture medium lacking retinoic acid followed

by culturing in the same medium containing retinoic acid,

followed by transferral of cell aggregates to a substrate

20 which provides for cell attachment.

Moreover, Pedersen, J. Reprod. Fertil. Dev., 6:543-552 (1994) is a review article which references numerous

articles disclosing methods for *in vitro* differentiation of embryonic stem cells to produce various differentiated cell types including hematopoietic cells, muscle, cardiac muscle, nerve cells, among others.

Further, Bain et al, Dev. Biol., 168:342-357 (1995)
teaches in vitro differentiation of embryonic stem cells
to produce neural cells which possess neuronal properties.
These references are exemplary of reported methods for
obtaining differentiated cells from embryonic or stem

10 cells. These references and in particular the disclosures
therein relating to methods for differentiating embryonic
stem cells are incorporated by reference in their entirety
herein.

Thus, using known methods and culture medium, one

15 skilled in the art may culture the subject CICM cells,

including genetically engineered or transgenic CICM cells,

to obtain desired differentiated cell types, e.g., neural

cells, muscle cells, hematopoietic cells, etc.

The subject CICM cells may be used to obtain any
20 desired differentiated cell type. Therapeutic usages of
such differentiated human cells are unparalleled. For
example, human hematopoietic stem cells may be used in

medical treatments requiring bone marrow transplantation.

Such procedures are used to treat many diseases, e.g.,
late stage cancers such as ovarian cancer and leukemia, as
well as diseases that compromise the immune system, such

5 as AIDS. Hematopoietic stem cells can be obtained, e.g.,
by fusing adult somatic cells of a cancer or AIDS patient,
e.g., epithelial cells or lymphocytes with an enucleated
occyte, obtaining CICM cells as described above, and
culturing such cells under conditions which favor

10 differentiation, until hematopoietic stem cells are
obtained. Such hematopoietic cells may be used in the
treatment of diseases including cancer and AIDS.

Alternatively, adult somatic cells from a patient with a neurological disorder may be fused with an 15 enucleated oocyte, human CICM cells obtained therefrom, and such cells cultured under differentiation conditions to produce neural cell lines. Specific diseases treatable by transplantation of such human neural cells include, by way of example, Parkinson's disease, Alzheimer's disease, 20 ALS and cerebral palsy, among others. In the specific case of Parkinson's disease, it has been demonstrated that transplanted fetal brain neural cells make the proper

connections with surrounding cells and produce dopamine.

This can result in long-term reversal of Parkinson's disease symptoms.

The great advantage of the subject invention is that

5 it provides an essentially limitless supply of isogenic or syngenic human cells suitable for transplantation.

Therefore, it will obviate the significant problem associated with current transplantation methods, i.e., rejection of the transplanted tissue which may occur because of host-vs-graft or graft-vs-host rejection.

Conventionally, rejection is prevented or reduced by the administration of anti-rejection drugs such as cyclosporine. However, such drugs have significant adverse side-effects, e.g., immunosuppression,

15 carcinogenic properties, as well as being very expensive.

The present invention should eliminate, or at least greatly reduce, the need for anti-rejection drugs.

Other diseases and conditions treatable by isogenic cell therapy include, by way of example, spinal cord

20 injuries, multiple sclerosis, muscular dystrophy,
diabetes, liver diseases, i.e., hypercholesterolemia,
heart diseases, cartilage replacement, burns, foot ulcers,

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gastrointestinal diseases, vascular diseases, kidney disease, urinary tract disease, and aging related diseases and conditions.

This methodology can be used to replace defective

5 genes, e.g., defective immune system genes, cystic
fibrosis genes, or to introduce genes which result in the
expression of therapeutically beneficial proteins such as
growth factors, lymphokines, cytokines, enzymes, etc. For
example, the gene encoding brain derived growth factor may

10 be introduced into human CICM cells, the cells
differentiated into neural cells and the cells
transplanted into a Parkinson's patient to retard the loss
of neural cells during such disease.

Previously, cell types transfected with BDNF varied

15 from primary cells to immortalized cell lines, either

neural or non-neural (myoblast and fibroblast) derived

cells. For example, astrocytes have been transfected with

BDNF gene using retroviral vectors, and the cells grafted

into a rat model of Parkinson's disease (Yoshimoto et al.,

20 Brain Research, 691:25-36, (1995)).

This ex vivo therapy reduced Parkinson's-like symptoms in the rats up to 45% 32 days after transfer.

Also, the tyrosine hydroxylase gene has been placed into astrocytes with similar results (Lundberg et al., Develop. Neurol., 139:39-53 (1996) and references cited therein).

However, such ex vivo systems have problems. In

5 particular, retroviral vectors currently used are downregulated in vivo and the transgene is only transiently
expressed (review by Mulligan, Science, 260:926-932
(1993)). Also, such studies used primary cells,
astrocytes, which have finite life span and replicate

10 slowly. Such properties adversely affect the rate of
transfection and impede selection of stably transfected
cells. Moreover, it is almost impossible to propagate a
large population of gene targeted primary cells to be used
in homologous recombination techniques. By contrast, the

15 difficulties associated with retroviral systems should be
eliminated by the use of mammalian CICM cells.

Genes which may be introduced into the subject CICM cells include, by way of example, epidermal growth factor, basic fibroblast growth factor, glial derived neurotrophic growth factor, insulin-like growth factor (I and II), neurotrophin-3, neurotrophin-4/5, ciliary neurotrophic factor, AFT-1, cytokine genes (interleukins, interferons,

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colony stimulating factors, tumor necrosis factors (alpha and beta), etc.), genes encoding therapeutic enzymes, etc.

In addition to the use of human CICM cells in cell, tissue and organ transplantation, the present invention

5 also includes the use of non-human cells in the treatment of human diseases. Thus, CICM cells, NT fetuses and NT and chimeric offspring (transgenic or non-transgenic) of any species may be used in the treatment of human disease conditions where cell, tissue or organ transplantation is

10 warranted. In general, CICM cell, fetuses and offspring according to the present invention can be used within the same species (autologous, syngenic or allografts) or across species (xenografts). For example, brain cells from bovine NT fetuses may be used to treat Parkinson's

15 disease.

Also, the subject CICM cells, preferably human cells, may be used as an *in vitro* model of differentiation, in particular for the study of genes which are involved in the regulation of early development. Also,

20 differentiated cell tissues and organs using the subject CICM cells may be used in drug studies.

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Further, the subject CICM cells may be used as nuclear donors for the production of other CICM cells and cell colonies.

In order to more clearly describe the subject 5 invention, the following examples are provided.

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## EXAMPLE 1

Isolation of primary cultures of bovine and porcine embryonic and adult bovine fibroblast cells.

Primary cultures of bovine and porcine fibroblasts

5 were obtained from fetuses (45 days of pregnancy for cattle and 35 days for pig fetuses). The head, liver, heart and alimentary tract were aseptically removed, the fetuses minced and incubated for 30 minutes at 37°C in prewarmed trypsin EDTA solution (0.05% trypsin/0.02% EDTA;

10 GIBCO, Grand Island, NY). Fibroblast cells were plated in tissue culture dishes and cultured in alpha-MEM, medium (BioWhittaker, Walkersville, MD) supplemented with 10% fetal calf serum (FCS) (Hyclone, Logen, UT), penicillin (100 IU/ml) and streptomycin (50 µl/ml). The fibroblasts

15 were grown and maintained in a humidified atmosphere with 5% CO, in air at 37°C.

Adult fibroblast cells were isolated from the lung of a cow (approximately five years of age). Minced lung tissue was incubated overnight at 10°C in trypsin EDTA 20 solution (0.05% trypsin/0.02% EDTA; GIBCO, Grand Island, NY). The following day tissue and any disassociated cells were incubated for one hour at 37°C in prewarmed trypsin

EDTA solution (0.05% trypsin/0.02% EDTA; GIBCO, Grand Island, NY) and processed through three consecutive washes and trypsin incubations (one hr). Fibroblast cells were plated in tissue culture dishes and cultured in alpha-MEM 5 medium (BioWhittaker, Walkersville, MD) supplemented with 10% fetal calf serum (FCS) (Hyclone, Logen, UT), penicillin (100 IU/ml) and streptomycin (50 μl/ml). The fibroblast cells can be isolated at virtually any time in development, ranging from approximately post embryonic 10 disc stage through adult life of the animal (bovine day 12 to 15 after fertilization to 10 to 15 years of age animals). This procedure can also be used to isolate fibroblasts from other mammals, including mice.

Introduction of a marker gene (foreign heterologous DNA) 15 into embryonic and adult fibroblast cells.

The following electroporation procedure was conducted for both embryonic (cattle and pigs) and adult (cattle) fibroblast cells. Standard microinjection procedures may also be used to introduce heterologous DNA into fibroblast cells, however, in this example electroporation was used because it is an easier procedure.

Culture plates containing propagating fibroblast cells were incubated in trypsin EDTA solution (0.05% trypsin/0.02% EDTA; GIBCO, Grand Island, NY) until the cells were in a single cell suspension. The cells were spun down at 500 x g and re-suspended at 5 million cells per ml with phosphate buffered saline (PBS).

The reporter gene construct contained the cytomegalovirus promoter and the beta-galactosidase, neomycin phosphotransferase fusion gene (beta-GEO). The 10 reporter gene and the cells at 50 µg/ml final concentration were added to the electroporation chamber. After the electroporation pulse, the fibroblast cells were transferred back into the growth medium (alpha-MEM medium (BioWhittaker, Walkersville, MD) supplemented with 10% 15 fetal calf serum (FCS) (Hyclone, Logen, UT), penicillin (100 IU/ml) and streptomycin (50 µl/ml)).

The day after electroporation, attached fibroblast cells were selected for stable integration of the reporter gene. G418 (400  $\mu$ g/ml) was added to growth medium for 15 days (range: 3 days until the end of the cultured cells' life span). This drug kills any cells without the beta-GEO gene, since they do not express the neo resistance

gene. At the end of this time, colonies of stable transgenic cells were present. Each colony was propagated independently of each other. Transgenic fibroblast cells were stained with X-gal to observe expression of beta-5 galactosidase, and confirmed positive for integration using PCR amplification of the beta-GEO gene and run out on an agarose gel.

Use of transgenic fibroblast cells in nuclear transfer procedures to create CICM cell lines and transgenic 10 fetuses

One line of cells (CL-1) derived from one colony of bovine embryonic fibroblast cells was used as donor nuclei in the nuclear transfer (NT) procedure. General NT procedures are described above.

- Slaughterhouse oocytes were matured in vitro. The oocytes were stripped of cumulus cells and enucleated with a beveled micropipette at approximately 18 to 20 hrs post maturation (hpm). Enucleation was confirmed in TL-HEPES medium plus Hoechst 33342 (3  $\mu$ g/ml; Sigma). Individual
- 20 donor cells (fibroblasts) were then placed in the perivitelline space of the recipient oocyte. The bovine oocyte cytoplasm and the donor nucleus (NT unit) were fused together using electrofusion techniques. One fusion

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pulse consisting of 120 V for 15  $\mu \rm sec$  in a 500  $\mu \rm m$  gap chamber was applied to the NT unit. This occurred at 24 hpm. The NT units were placed in CR1aa medium until 26 to 27 hpm.

- The general procedure used to artificially activate oocytes has been described above. NT unit activation was initiated between 26 and 27 hpm. Briefly, NT units were exposed for four min to ionomycin (5  $\mu$ M; CalBiochem, La Jolla, CA) in TL-HEPES supplemented with 1 mg/ml BSA and 10 then washed for five min in TL-HEPES supplemented with 30 mg/ml BSA. Throughout the ionomycin treatment, NT units were also exposed to 2 mM DMAP (Sigma). Following the wash, NT units were then transferred into a microdrop of CR1aa culture medium containing 2 mM DMAP (Sigma) and 15 cultured at 38.5°C 5% CO<sub>2</sub> for four to five hrs. The embryos were washed and then placed in CR1aa medium plus 10% FCS and 6 mg/ml BSA in four well plates containing a confluent feeder layer of mouse embryonic fibroblast. The NT units were cultured for three more days at 38.5°C and 20 5% CO2. Culture medium was changed every three days until
- days 5 to 8 after activation. At this time blastocyst stage NT embryos can be used to produce transgenic CICM

(cultured inner cell mass) cell lines or fetuses. The inner cell mass of these NT units can be isolated and plated on a feeder layer. Also, NT units were transferred into recipient females. The pregnancies were aborted at 5 35 days of gestation. This resulted in two cloned transgenic fetuses having the beta-GEO gene in all tissues checked. Thus, this is a fast and easy method of making transgenic CICM cell lines and fetuses. This procedure is generally conducive to gene targeted CICM cell lines and 10 fetuses.

The table below summarizes the results of these experiments.

donor cell type	n	cleavage (%)	blastocysts (%)	CICM* lines (%)	transgenic fetuses (%)
CL-1 bovine embryonic fibroblast (bGEO)	412	220 (53)	40 (10%)	22 (55%)	
CL-1 bovine embryonic fibroblast (bGEO)	505		46 (9%)	·	4 fecusest/ 16 embryos (20%)
CICM cell line derived from CL-1 NT embryos	709		5 (0.7%)		

<sup>\* 19</sup> lines were positive for beta-GEO, 2 were negative and one line died prior to PCR detection.

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† One fetus was dead and another was slightly retarded in development at 35 days of gestation. Two fetuses recovered at day 38 were normal. All fetuses were confirmed transgenic.

## EXAMPLE 2

Chimeriō fetuses derived from transgenic CICM cells. The transgenic CICM cell line was derived originally from a transgenic NT unit (differentiated cell).

A CICM line derived from transgenic NT embryos (a CL-1 cell transferred into an enucleated oocyte) was used to produce chimeric embryo&0and fetuses. Colonies of transgenic CICM cells were disaggregated either using 1-5 mg/ml pronase or 0.05% trypsin/EDTA combined with mechanical disaggregation methods so that clumps of five or fewer cells were produced. Trypsin or pronase activity was inactivated by passing the cells through multiple washes of 30 to 100% f&5al calf serum. The disaggregated cells were placed in micromanipulation plates containing TL-HEPES medium. Fertilized embryos were also placed in these plates and micromanipulation tools were used to produce the chimeric embryos. Eight to ten transgenic CICM cells were injected into 8-16 cell stage fertilized embryo&0 These embryos were cultured in vitro to the blastocyst stage and then transferred into recipient animals.

A total of 6 blastocyst stage chimeric embryos were nonsurgically transferred into two recipient females. After five weeks of gestation 3 fetuses were recovered. Several tissues of

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the three fetuses, including germ cells of the gonad (suggesting germ-line chimeras), were screened by PCR amplification and southern blot hybridization of the amplified product to a betagalactosidase fragment. Of the three fetuses, two were positive for confribution from the transgenic CICM cells. Both of these fetuses had transgenic CICM contribution to the gonad.

Transgenic NT embryos derived from transgenic CICM cell lines. The transgenic CICM cell line was derived originally from a transgenic NT unit (differentiated cell).

The same transgenic CICM cell lines were used to produce NT embryos. The NT procedures described in Example 1 were used except that CICM cells instead of fibroblast cells were used as the donor cell fused with the enucleated oocyte. Colonies of transgenic CICM cells were disaggregated either using 1-5 mg/ml pronase or 0.05% trypsim#EDTA combined with mechanical disaggregation methods so that clumps of five or fewer cells were produced. Trypsin or pronase activity was inactivated by passing the cells through multiple washes of 30 to 100% fetal calf serum before transferring the cells into enucleated oocytes. Results are reported in Table 1 (third2@roup). Five blastocyst stage embryos were produced.

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## WHAT IS CLAIMED IS:

- 1. A method of cloning a mammal, comprising:
- (i) inserting a desired differentiated mammalian cell or cell nucleus into an enucleated mammalian oocyte 5 of the same species as the differentiated cell or cell nucleus, under conditions suitable for the formation of a nuclear transfer (NT) unit;
  - (ii) activating the resultant nuclear transfer unit;
- (iii) culturing said activated nuclear transfer unit

  10 until greater than the 2-cell developmental stage; and
  - (iv) transferring said cultured NT unit to a host mammal such that the NT unit develops into a fetus.
  - 2. The method according to claim 1, which further comprises developing the fetus to an offspring.
- 3. The method according to claim 1, wherein a desired DNA is inserted, removed or modified in said differentiated mammalian cell or cell nucleus, thereby resulting in the production of a genetically altered NT unit.

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- 4. The method according to claim 3, which further comprises developing the fetus to an offspring.
- 5. The method according to claim 1, wherein the differentiated mammalian cell or cell nucleus is derived 5 from mesoderm.
  - 6. The method according to claim 1, wherein the differentiated mammalian cell or cell nucleus is derived from ectoderm.
- The method according to claim 1, wherein the
   differentiated mammalian cell or cell nucleus is derived from endoderm.
  - 8. The method according to claim 1, wherein the differentiated mammalian cell or cell nucleus is a fibroblast cell or cell nucleus.
- 9. The method according to claim 1, wherein the differentiated mammalian cell or cell nucleus is from an ungulate.

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- 10. The method according to claim 9, wherein the ungulate is selected from the group consisting of bovine, ovine, porcine, equine, caprine and buffalo.
- 11. The method according to claim 1, wherein the 5 differentiated mammalian cell or cell nucleus is an adult cell or cell nucleus.
  - 12. The method according to claim 1, wherein the differentiated mammalian cell or cell nucleus is an embryonic or fetal cell or cell nucleus.
- 10 13. The method according to claim 1, wherein the enucleated oocyte is matured prior to enucleation.
  - 14. The method according to claim 1, wherein the fused nuclear transfer unit is activated by exposure to ionomycin and 6-dimethylaminopurine.
- 15. The method according to claim 3, wherein microinjection is used to insert a heterologous DNA.

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- 16. The method according to claim 3, wherein electroporation is used to insert a heterologous DNA.
- 17. A fetus obtained according to the method of claim 1.
- 18. An offspring obtained according to the method of claim 2.
  - 19. Progeny of the offspring according to claim 18.
  - 20. A transgenic fetus obtained according to the method of claim 3.
- 10 21. A transgenic offspring obtained according to the method of claim 4.
  - 22. Progeny of the offspring according to claim 21.
- 23. The method according to claim 1, which further comprises combining the cloned NT unit with a fertilized 15 embryo to produce a chimeric embryo.

- 24. The method according to claim 23, which further comprises developing the fetus to an offspring.
- 25. A fetus obtained according to the method of claim 23.
- 5 26. An offspring obtained according to the method of claim 24.
  - 27. Progeny of the mammal according to claim 26.
  - 28. A method of producing a CICM cell line, comprising:
- (i) inserting a desired differentiated mammalian cell or cell nucleus into an enucleated mammalian oocyte of the same species as the differentiated cell or cell nucleus, under conditions suitable for the formation of a nuclear transfer (NT) unit;
- (iii) activating the resultant nuclear transfer unit;

  (iii) culturing said activated nuclear transfer unit

  until greater than the 2-cell developmental stage; and

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- (iv) culturing cells obtained from said cultured NT unit to obtain a CICM cell line.
- 29. A CICM cell line obtained according to the method of claim 28.
- 30. The method according to claim 28, wherein a desired DNA is inserted, removed or modified in said differentiated mammalian cell or cell nucleus, thereby resulting in the production of a genetically altered NT unit.
- 10 31. A transgenic CICM cell line obtained according to claim 30.
  - 32. The method of claim 28, wherein the resultant CICM cell line is induced to differentiate.
- 33. Differentiated cells obtained by the method of 15 claim 32.

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- 34. Human differentiated cells obtained by the method of claim 32.
- 35. A method of therapy which comprises
  administering to a patient in need of cell transplantation
  therapy isogenic differentiated cells according to claim
  34.
- transplantation therapy is effected to treat a disease or condition selected from the group consisting of Parkinson's disease, Huntington's disease, Alzheimer's disease,
  ALS, spinal cord defects or injuries, multiple sclerosis,
  muscular dystrophy, cystic fibrosis, liver disease, diabetes, heart disease, cartilage defects or injuries, burns,
  foot ulcers, vascular disease, urinary tract disease, AIDS
  and cancer.
  - 37. A method of therapy which comprises administering to a human patient in need of cell transplantation therapy xenogenic differentiated cells according to claim 33.

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- 38. The method according to claim 37 wherein the xenogenic differentiated cells are bovine cells.
- 39. The method of Claim 37, wherein said cell transplantation therapy is effected to treat a disease or 5 condition selected from the group consisting of Parkinson's disease, Huntington's disease, Alzheimer's disease, ALS, spinal cord defects or injuries, multiple sclerosis, muscular dystrophy, cystic fibrosis, liver disease, diabetes, heart disease, cartilage defects or injuries, burns, 10 foot ulcers, vascular disease, urinary tract disease, AIDS and cancer.
  - 40. The method of Claim 35, wherein the differentiated human cells are hematopoietic cells or neural cells.
- 41. The method of Claim 35, wherein the therapy is
  15 for treatment of Parkinson's disease and the differentiated cells are neural cells.

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- 42. The method of Claim 35, wherein the therapy is for the treatment of cancer and the differentiated cells are hematopoietic cells.
- 43. A method of therapy which comprises

  5 administering to a human patient in need of cell
  transplantation therapy xenogenic cells obtained from a
  fetus according to claim 17.
  - 44. The method according to claim 43 wherein the xenogenic cells are bovine cells.
- transplantation therapy is effected to treat a disease or condition selected from the group consisting of Parkinson's disease, Huntington's disease, Alzheimer's disease, ALS, spinal cord defects or injuries, multiple sclerosis, muscular dystrophy, cystic fibrosis, liver disease, diabetes, heart disease, cartilage defects or injuries, burns, foot ulcers, vascular disease, urinary tract disease, AIDS and cancer.

- 46. A method of therapy which comprises administering to a human patient in need of cell transplantation therapy xenogenic cells obtained from an offspring according to claim 18.
- 5 47. The method according to claim 46 wherein the xenogenic cells are bovine cells.
- 48. The method of Claim 46, wherein said cell transplantation therapy is effected to treat a disease or condition selected from the group consisting of Parkin
  10 son's disease, Huntington's disease, Alzheimer's disease, ALS, spinal cord defects or injuries, multiple sclerosis, muscular dystrophy, cystic fibrosis, liver disease, diabetes, heart disease, cartilage defects or injuries, burns, foot ulcers, vascular disease, urinary tract disease, AIDS and cancer.
  - 49. A method of therapy which comprises administering to a human patient in need of cell transplantation therapy xenogenic transgenic cells obtained from a transgenic fetus according to claim 20.

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- 50. The method according to claim 49 wherein the xenogenic transgenic cells are bovine cells.
- transplantation therapy is effected to treat a disease or condition selected from the group consisting of Parkinson's disease, Huntington's disease, Alzheimer's disease, ALS, spinal cord defects or injuries, multiple sclerosis, muscular dystrophy, cystic fibrosis, liver disease, diabetes, heart disease, cartilage defects or injuries, burns, foot ulcers, vascular disease, urinary tract disease, AIDS and cancer.
- 52. A method of therapy which comprises

  administering to a human patient in need of cell

  transplantation therapy xenogenic transgenic cells

  15 obtained from a transgenic offspring according to claim

  21.
  - 53. The method according to claim 52 wherein the xenogenic transgenic cells are bovine cells.

- 54. The method of Claim 52, wherein said cell transplantation therapy is effected to treat a disease or condition selected from the group consisting of Parkinson's disease, Huntington's disease, Alzheimer's disease, Als, spinal cord defects or injuries, multiple sclerosis, muscular dystrophy, cystic fibrosis, liver disease, diabetes, heart disease, cartilage defects or injuries, burns, foot ulcers, vascular disease, urinary tract disease, AIDS and cancer.
- 10 55. The method according to claim 28, which further comprises combining the cloned NT unit with a fertilized embryo to produce a chimera.
- 56. The method according to claim 55, which further comprises developing the chimeric CICM cell line to a 15 chimeric embryo.
  - 57. A chimeric embryo obtained according to claim 56.

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- 58. The method according to claim 56, which further comprises developing the chimeric embryo to a chimeric fetus.
  - 59. A chimeric fetus obtained according to claim 58.
- 5 60. The method according to claim 58, which further comprises developing the chimeric fetus to a chimeric offspring.
  - 61. A chimeric offspring obtained according to claim60.
- 10 62. The method according to claim 55, wherein a desired DNA is inserted, removed or modified in said differentiated mammalian cell or cell nucleus, thereby resulting in the production of a genetically altered NT unit.
- 15 63. The method according to claim 62, which further comprises developing the chimeric CICM cell line to a chimeric embryo.

- 64. A chimeric embryo obtained according to claim 63.
- 65. The method according to claim 63, which further comprises developing the chimeric embryo to a chimeric 5 fetus.
  - 66. A chimeric fetus obtained according to claim 65.
  - 67. The method according to claim 65, which further comprises developing the chimeric fetus to a chimeric offspring.
- 10 68. A chimeric offspring obtained according to claim 67.
  - 69. A method of cloning a mammal, comprising:
- (i) inserting a desired differentiated CICM cell or cell nucleus into an enucleated mammalian oocyte of the
   15 same species as the differentiated CICM cell or cell nucleus, under conditions suitable for the formation of a nuclear transfer (NT) unit;

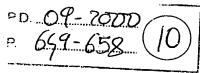
- (ii) activating the resultant nuclear transfer unit;
- (iii) culturing said activated nuclear transfer unit until greater than the 2-cell developmental stage; and
- (iv) transferring said cultured NT unit to a host 5 mammal such that the NT unit develops into a fetus.
  - 70. The method according to claim 69, which further comprises developing the fetus to an offspring.
  - 71. A fetus obtained according to the method of claim 69.
- 10 72. An offspring obtained according to the method of claim 70.
  - 73. An organ for use as an organ xenograft, which is obtained from the offspring according to claim 18.
- 74. An organ for use as an organ xenograft, which is 15 obtained from the offspring according to claim 21.

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- 75. An organ for use as an organ xenograft, which is obtained from the offspring according to claim 26.
- 76. An organ for use as an organ xenograft, which is obtained from the offspring according to claim 68.
- 5 77. An organ for use as an organ xenograft, which is obtained from the offspring according to claim 72.

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ARTICLE ORIGINAL

# Clonage et reprogrammation du noyau dans l'embryon préimplantatoire

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#### Rėsumė

Chez les mammifères, le transfert nucléaire (ou clonage) consiste à placer un noyau, le plus souvent transcriptionnellement actif dans un nouvel environnement cytoplasmique, en général celui d'un ovocyte en métaphase il (transcriptionnellement inactif). Des échanges nucléocytoplasmiques intenses après le transfert provoquent un remodelage de la structure du noyau ainsi qu'une reprogrammation des gènes : le programme de développement du noyau donneur s'efface et celui-ci adopte le programme dicté par le cytoplasme receveur. Remodelage et reprogrammation sont régulés par des facteurs cytoplasmiques maternels. L'activité transcriptionnelle globale, les fonctions d'épissage et de traduction ainsi que l'expression spécifique de certains gènes suivent, dans l'embryon cloné, un profil globalement comparable à celui des embryons normaux. Néanmoins, le programme de développement semble être lu avec quelques heures d'avance par les embryons reconstitués sans remise préalable complète à zéro. Des anomalies nucléolaires et ribosomiques ont également pu être observées. Ces anomalies de reprogrammation à des stades précoces du développement sont particulièrement importantes à étudier à la lumière des anomalies de développement fœtal et néonatal observées après transfert de cellules somatiques. © 2000 Éditions scientifiques et médicales Elsevier SAS

clonage / embryon / facteurs maternels / remodelage / reprogrammation / transfert nucléaire

#### Summary - Cloning and reprogramming of the nucleus.

In mammals, nuclear transfer (or cloning) consists of introducing the nucleus which is generally transcriptionally active into a new cytoplasmic environment, usually that of a transcriptionally inactive metaphase il occyte. Following the transfer, intense nucleocytoplasmic exchange takes place, and is responsible for remodeling of the nuclear structure and gene reprogramming. The original development program of the donor nucleus is effaced, and the reconstructed embryo adopts that of the recipient occyte. Both remodeling and reprogramming are regulated by maternal cytoplasmic factors. Overall transcriptional activity, splicing and translational functions as well as the specific expression of certain genes are fairly similar to corresponding activity in normal embryos. However, the development program seems to be read some hours in advance by the reconstructed embryos, and some basic information is lacking. It is particularly important to study these reprogramming abnormalities during the early stages of development in the context of specific abnormalities in fetal and neonatal development observed after transfer of somatic cells. © 2000 Éditions scientifiques et médicales Elsevier SAS

cloning / embryo / maternal factor / remodeling / reprogramming / nuclear transfer

Une manipulation de transfert nucléaire (ou clonage) consiste à placer un noyau donneur, embryonnaire ou somatique, dans un nouvel environnement cytoplasmique, à savoir un ovocyte énucléé. Après une telle transplantation, si un noyau issu par exemple d'un embryon bovin au stade 32-cellules continuait à suivre son propre programme de développement, l'embryon reconstitué devrait former un blastocœle après un clivage (comme l'aurait fait l'embryon donneur au stade 64-cellules). Or, le comportement des embryons clonés est tout autre : la blastulation n'a lieu que lorsque les embryons reconstitués ont atteint le stade 64-cellules, au même stade que chez les embryons normaux (vache [53]; lapin [66]; souris [4]). Après son transfert dans le cytoplasme receveur, le noyau donneur a donc subi une « reprogrammation », telle que son programme propre de développement s'efface et que le noyau adopte celui du cytoplasme receveur [61]. La séquence d'expression de certains antigènes se trouve selon le même phénomène « remise à zéro » [21, 76] : l'antigène de surface TEC-3 n'est exprimé dans l'embryon bovin qu'à partir du stade 16-cellules; quand un noyau de stade 16 à 50-cellules est fusionné avec un ovocyte (négatif), TEC-3 n'est plus exprimé dans les embryons reconstitués avant le stade 16-32-cellules, où il réapparaît comme dans les embryons non manipulés [21].

Il est maintenant largement établi que des noyaux transcriptionnellement actifs, différenciés, issus de cellules embryonnaires, fœtales ou même adultes peuvent être reprogrammés, comme en témoigne leur capacité à assurer le développement embryonnaire et foctal jusqu'à terme après leur transfert dans un ovocyte énucléé dans une grande variété d'espèces : chez les amphibiens [24, 25, 43], chez la souris [9, 19, 36, 71], chez le lapin [27, 66, 77], chez le mouton [54, 75], le porc [12], la chèvre [3] et les bovins [5, 12, 28, 35, 53, 70, 73, 78]. On sait cependant peu de choses sur les mécanismes de la reprogrammation des noyaux et des gènes, c'est-à-dire sur les mécanismes qui permettent à un noyau ayant déjà traversé certains stades de développement de revenir en arrière et de conduire une nouvelle sois le développement d'un organisme.

La reprogrammation du noyau donneur par le cytoplasme receveur implique des échanges nucléocytoplasmiques intenses, de telle façon que le noyau s'approche morphologiquement et transcriptionnellement du pronoyau. Néanmoins, le développement des embryons reconstitués ne se révèle pas strictement identique à celui des embryons normaux. Ainsi certains résultats suggèrent une reprogrammation incomplète de noyaux de stade 8-cellules parce que la compaction [68] et la formation du blastocyste [68] chez les embryons reconstitués ont lieu plus précocement que chez les embryons normaux.

### ÉCHANGES NUCLÉOCYTOPLASMIQUES ENTRE NOYAU DONNEUR ET CYTOPLASME RECEVEUR

Après transfert nucléaire chez les amphibiens, ont lieu des échanges massifs de protéines histones et non histones avec une accumulation dans le noyau transféré de protéines provenant du cytoplasme receveur, mais aussi une fuite majeure de protéines du noyau vers le cytoplasme [15, 20, 29, 48]. D'après Gurdon et al. [20], près de trois quarts des ARN et la presque totalité des protéines contenues dans le noyau donneur sont perdues après transfert. Quant aux protéines migrant vers le noyau donneur, elles subissent une concentration importante: elles atteignent dans le noyau transféré une concentration de 1,2 à sept fois plus importante que dans le cytoplasme receveur [29]. Cet échange de protéines est un processus très sélectif, qui met en jeu des sites de liaison particuliers à l'intérieur du noyau et non pas simplement la perméabilité de la membrane nucléaire [18].

Chez les mammisères, de tels échanges de protéines ont également lieu, du cytoplasme vers le noyau transféré pour les lamines A/C par exemple [55, 57], ou du noyau vers le cytoplasme receveur comme cela a été montré pour un composant de la matrice nucléaire [58] et des ARN messagers [50].

Ces échanges nucléocytoplasmiques sont à l'origine d'un remodelage de la structure du noyau [48] et probablement de la reprogrammation de l'activité transcriptionnelle [58, 59]. La rupture de la membrane nucléaire du noyau donneur ne semble pas nécessaire pour que ces deux phénomènes puissent avoir lieu [1].

#### REMODELAGE DU NOYAU APRÈS TRANSFERT

Le remodelage du noyau transféré est variable selon les caractéristiques du cytoplasme receveur, en particulier son état de vieillissement et d'activation, qui déterminent le niveau d'activité MPF. Dans les ovocytes jeunes non activés (métaphase II), chez lesquels le taux de MPF est élevé, l'enveloppe nucléaire disparaît, la chromatine se condense fortement et rapidement après la fusion (ce phénomène est qualifié de

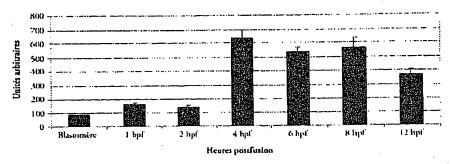


Figure 1. Gonflement du noyau après transfert chez le lapin. La cellule donneuse est un blastomère issu d'une mortila (Chastant-Maillard et al., données non publiées). La surface du noyau a été mesurée en microscopie confocale en son plus grand diamètre (moyenne sem).

PCC: premature chromosome condensation) [14]. La réplication du noyau transféré reprend très rapidement après transfert, quelque soit la phase du cycle dans laquelle se trouvait le noyau avant la fusion [5]; PCC et réplication systématique concourent à l'apparition de nombreuses anomalies chromosomiques délétères pour le développement [1, 8, 11, 51]. Cette première phase a lieu moins fréquemment quand les ovocytes sont âgés et n'existe pas si les ovocytes ont activés avant la transplantation: le noyau semble rester intact [1, 14].

Dans un second temps, dès quatre heures et jusqu'à huit heures après la fusion, le noyau subit un gonflement et passe en interphase (figure 1). Il atteint alors une taille proche de celle d'un pronoyau [14, 22, 56, 66]. Certains auteurs considérent l'importance du gonflement comme un marqueur de la qualité de la reprogrammation [61]. Effectivement, chez les amphibiens, quand des noyaux sont transférés dans des ovocytes au stade vésicule germinative, dont l'activité transcriptionnelle est intense, la synthèse d'ARN dans le noyau augmente proportionnellement à l'importance du gonflement du noyau. Pour une synthèse d'ARN multipliée par 7,5, le volume du noyau est multiplié par 10 [20]. Cependant, chez les mammifères, le gonflement est plus faible après transfert dans des ovocytes préactivés [14], autorisant pourtant de meilleurs taux de développement [9, 65].

# REPROGRAMMATION DE L'EXPRESSION DES GÈNES

Selon la définition donnée par J.B. Gurdon (cité par Aronson et Solter [2]), « nuclear reprogramming [...] denote fundamental changes in gene activity ». La

reprogrammation nucléaire sous l'effet des facteurs cytoplasmiques doit en particulier réconcilier l'activité transcriptionnelle du noyau donneur avec celle du cytoplasme receveur, inexistante (ovocyte en métaphase II).

# Reprogrammation de l'activité transcriptionnelle

De nombreux exemples, chez les amphibiens aussi bien que chez les mammifères, indiquent que l'activité transcriptionnelle du noyau transféré se calque sur celle du cytoplasme receveur. Chez les amphibiens, la synthèse d'ARN est nulle chez l'ovocyte en métaphase II et commence à la transition mid-blastuléenne (MBT: [49]). Des noyaux donneurs issus de stades post MBT (neurula: [22, 23] blastula tardive: [76]) transplantés dans des ovocytes voient leur transcription stoppée en moins d'une heure. La synthèse d'ARN est ensuite réactivée au même moment que dans les embryons normaux, au stade midblastula [22, 23].

On observe le même profil chez les embryons de mammifères, avec une forte répression de la transcription après transfert, la reprise de la transcription ayant lieu au moment de l'activation du génome embryonnaire, à un stade spécifique de chaque espèce (voir [67]; vache [31]; souris [32]; lapin [34]). Néanmoins, une méthode très sensible de détection de la transcription (incorporation de BrUTP) montre que le transfert d'une cellule transcriptionnellement active (blastomère de morula chez le lapin) ne provoque pas une extinction complète de la transcription (Chastant-Maillard et al., données non publiées; figure 2: voir une et deux heures postfusion). Ensuite,

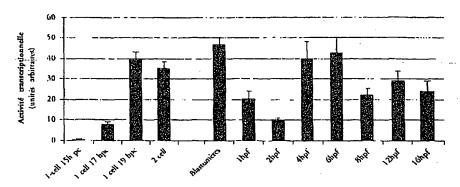


Figure 2. Évolution de l'activité transcriptionnelle du noyau donneur après transfert chez le lapin (Chastant-Maillard et al., données non publices). Après fusion d'un blastomère de morula avec un ovocyte métaphase II énucléé, les transcrits naissants sont mis en évidence par incorporation de BrUTP révélée par intounofluorescence quantifiée en microscopie confocale [1]. L'activité transcriptionelle est exprimée ici en intensité du marquage nucléaire par unité de surface (moyenne ± sem) à laquelle a été retranchée l'intensité du marquage cytoplasmique. La fécondation a lieu vers 12 h pc.

dès la fin du stade 1-cellule des embryons reconstitués, le niveau d'activité transcriptionnelle est équivalent à celui mesuré au cours du développement normal.

Au-delà de cette reprogrammation globale de l'activité transcriptionelle, il serait intéressant de savoir si elle s'applique à tous les gènes. Chez le xénope, deux gènes montrent une reprogrammation complète de leur expression, l'actine et les gènes codant pour les ARN 5S [21, 38, 72]. L'α-actine, gène spécifique de la cellule musculaire, est transcrite uniquement à partir de la fin du stade gastrula. Après transfert du noyau d'une cellule musculaire différenciée d'un têtard dans un ovocyte énucléé, la transcription de ce gène s'arrête et recommence quand les embryons reconstitués atteignent le stade gastrula tardif [21].

Chez les manmifères, il est intéressant d'examiner la reprogrammation des gènes caractéristiques de l'activation du génome embryonnaire, étape critique du développement. L'activation du génome embryonnaire n'est pas un phénomène irréversible et des noyaux très avancés dans le processus de développement peuvent de nouveau subir une activation normale au cours du stade 2-cellules. Chez les embryons de souris reconstitués, une synthèse de TRC et l'expression d'hsp70 est détectée au même moment que chez les embryons non manipulés (au stade 2-cellules, 40-44 heures post hCG [4, 10, 30, 41].

La régulation de l'activité transcriptionnelle par le cytoplasme receveur s'exerce non seulement sur le

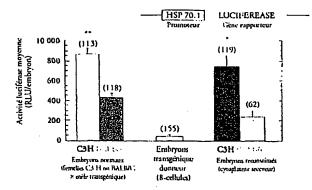


Figure 3. Influence du cytoplasme receveur sur le niveau d'expression du gène hsp70 chez la souris [10]. Des mâles transgéniques hsp70-luciférase sont accouplés avec des femelles C3H ou BALB/c. L'activité luciférase des embryons (proportionnelle à celle du promoteur hsp70) est mesurée à 42 h post hCG. Après fécondation, les embryons issus des femelles C3H expriment 2,5 fois plus de luciférase (p < 0,001). Lorsqu'un blastomère de stade 8-cellule transgénique, chez lequel le transgène est inactif, est transplanté dans un cytoplasme zygorique issu d'une femelle C3H ou BALB/c, les embryons reconstitués avec le cytoplasme C3H ont un niveau d'expression presque trois fois supérieur.

moment d'extinction et de mise en route, mais aussi sur le niveau de transcription (figure 3).

Les facteurs maternels contenus dans le cytoplasme receveur exercent donc une régulation très précoce sur le noyau donneur. Cependant, ce contrôle du niveau

d'expression des gènes après reprogrammation s'avère dans certains cas défectueux. Chez le lapin, quand des constructions contenant le promoteur Tag du polyomavirus seul sont microinjectées dans un noyau d'embryon reconstitué juste après la fusion ou dans un embryon non manipulé au stade pronoyau, leur niveau d'expression est équivalent dans les deux types d'embryons au stade 8-16-cellules. Mais l'addition d'un enhancer entraîne une augmentation d'expression beaucoup plus importante dans les embryons reconstitués que dans les embryons normaux [13]. Ceci laisse supposer que la conformation chromatinienne après reprogrammation n'est pas strictement identique à celle observée au cours développement normal

# Reprogrammation de l'activité d'épissage

Les snRNP sont responsables de l'épissage des ARN avant leur sortie du noyau [40, 44]. Chez le porc, les snRNP (composants fondamentaux de l'appareil d'épissage) sont présentes dans la vésicule germinative, mais absentes des pronoyaux et des noyaux au stade 2-cellules. Elles ne sont détectées à nouveau avec l'anticorps Y12 dans les noyaux qu'à partir du stade 4-cellules, lors la mise en route de la transcription. Quand un noyau de stade 16-cellules (possédant des snRNP organisées) est transféré dans un ovocyte énucléé, les snRNP se dispersent dans le cytoplasme receveur après la fusion. En ce qui concerne la distribution des snRNP, le noyau transféré reprend donc le profil d'un pronoyau [60].

Cependant, si l'on examine la distribution d'un autre facteur d'épissage, le facteur SC-35 chez le lapin [19], on constate que l'organisation en nombreuses mouchetures sur un fond nucléoplasmique apparaît dès le stade 2 cellules chez les embryons clonés, alors qu'il faut attendre le stade 4-cellules au cours du développement normal; la réorganisation des mouchetures, habituellement observée à la fin du stade 4-cellules, a lieu dès le début du stade 4-cellules après transfert (Chastant-Maillard et al., données non publiées).

#### Reprogrammation de l'activité traductionnelle

Les nucléoles assurent dans la cellule la transcription des ARN ribosomiques sous l'action de l'ARN polymérase I. Cette transcription ribosomique, comme la transcription des gènes codant pour les protéines

dépendant de l'ARN polymérase II, est inactive au début du développement et se met en route à un stade donné. L'évolution de la transcription ribosomique après transfert de noyau est intéressante à examiner, dans la mesure où elle code pour l'appareil de traduction des ARN épissés. Elle peut être suivie chez les amphibiens par la présence ou l'absence des nucléoles et chez les mammifères par l'étude de la morphologie nucléolaire par microscopie électronique qui varie nettement selon l'état d'activité de cet organite.

Chez les amphibiens, les nucléoles sont normalement absents au cours des stades précoces et n'apparaissent que lors de la gastrulation. Le même profil est observé après transfert nucléaire [22, 23], en stricte correspondance avec la transcription ribosomique (suivic par une séparation des différentes classes d'ARN sur colonne), nulle avant le stade de la gastrulation et réactivée seulement à partir de ce stade [23]).

Les changements de la structure nucléolaire après transfert nucléaire reflètent une inhibition puis une réactivation de la transcription des ARN ribosomiques, aussi bien chez la souris [32], chez le lapin [34], le porc [47] que chez les bovins [31]. Chez les embryons de lapin reconstitués, les nucléoles subissent après la première division des changements très importants. Ils se transforment en des structures similaires à celles que l'on trouve au stade 2-cellules au cours du développement normal, les « précurseurs nucléolaires », qui sont de petits paquets denses, inactifs. La morphologie redevient celle d'un nucléole actif, avec réapparition d'une réticulation, au cours du stade 2-cellules chez la souris, au stade 8-16-cellules chez le lapin et au stade 8-cellules chez les bovins, comme chez les embryons non manipulés. La séquence des changements ultrastructuraux est donc similaire à celle d'un développement normal, comme le montre également l'étude de l'expression d'une protéine majeure du nucléole, la fibrillarine [52].

Plus en amont, on peut aussi évaluer l'intensité de la transcription des gènes ribosomiques. Un marquage à l'argent des chromosomes montre, lors de transcription active de ces gènes, des zones noires caractéristiques, appelées NOR (nucleolar organizing regions). De telles zones sont normalement présentes au stade 8-16-cellules chez la souris et absentes au stade pronoyau. Après transfert d'un blastomère de stade 8-16-cellules dans un zygote, les zones NOR disparaissent dans la quasi-totalité des cas (96 %) [17].

Cependant, la fonction de maturation des ARN ribosomiques dans l'embryon reconstitué présente

quelques anomalies: lors du processus d'inactivation de la transcription ribosomique dans le noyau donneur, les nucléoles se transforment dans les six heures qui suivent la fusion en des structures annulaires denses qui n'existent à aucun autre stade du développement. Au cours du premier cycle, les corps précurseurs des nucléoles présentent parfois des chapeaux de matériel fibrillaire, qui n'apparaissent normalement qu'au stade 2-4-cellules. Même au stade 32-cellules, les nucléoles des embryons reconstitués ont une morphologie assez différente, puisqu'ils présentent beaucoup moins de composant granulaire [34]. De plus, l'association des blocs de chromatine avec le nucléole reste beaucoup plus fragmentaire chez les embryons reconstitués que chez les embryons normaux [37].

De même, le marquage immunocytochimique de la fibrillarine, indicateur également de l'activité nucléo-laire [52]), n'apparaît pas dans toutes les cellules des embryons de lapin reconstitués: au stade 32-cellules, tous les noyaux d'un embryon normal sont marqués, alors qu'après transfert, le marquage à ce stade reste limité à certains blastomères. Cette réponse positive n'existe également que chez un nombre limité d'embryons de l'échantillon examiné. La présence d'un marquage pourrait être associée avec les embryons ou les cellules les plus viables. La comparaison avec les résultats obtenus chez les parthénotes suggèrent que ce défaut de reprogrammation pourrait être dû à l'insuffisance du stimulus d'activation.

Inversement, la densité en ribosomes est nettement plus élevée dans les embryons reconstitués. Dans ces embryons, au stade 32-cellules, si le nombre de ribosomes intégrés aux polyribosomes ou attachés au réticulum endoplasmique est identique à celle des embryons normaux, la quantité de ribosomes cytoplasmiques libres est beaucoup plus importante. Cette extrusion excessive des particules préribosomiques suggère une possible altération de la régulation des mécanismes de transport [33, 34].

### LA REPROGRAMMATION RESPECTE LA SPÉCIFICITÉ TISSULAIRE DE L'EXPRESSION DES GÈNES

La reprogrammation respecte la spécificité de tissu de l'expression plus tard au cours du développement : après transfert de cellules transfectées dans des ovocytes de xénope, une construction contenant le gène rapporteur CAT sous la dépendance d'un promoteur spécifique de l'actine s'exprime dans les somites

des neurulas issus d'embryons reconstitués; une construction \( \beta\)-galactosidase sous la dépendance d'un promoteur \( bsp70\) s'exprime dans toutes les cellules, puisque ce promoteur ne possède pas de spécificité de tissu [39]. La reprogrammation respectant la localisation de l'expression des gènes, le transfert de cellules transfectées, potentiellement de meilleur rendement que la microinjection d'embryons, est donc une voic intéressante permettant d'obtenir des animaux transgéniques avec une expression du transgène éventuellement dirigée vers un tissu donné.

# L'OVOCYTE EN MÉTAPHASE II, MEILLEUR REPROGRAMMATEUR ?

Le cytoplasme de toutes les cellules ne semble pas apte à reprogrammer un noyau. Si le cytoplasme d'un ovocyte en métaphase II est capable de reprogrammer des noyaux de cellules y compris différenciées [73, 75], les tentatives de transfert dans un cytoplasme zygotique n'ont pas permis d'obtenir des naissances. Les noyaux transférés sont incapables de récapituler complètement le profil d'expression des embryons normaux : sur 1 200 protéines analysées, 74 sont significativement altérées chez les clones, c'est-à-dire que leur niveau de synthèse est significativernent différent chez les clones que chez les embryons normaux. 70 protéines (dont hsp70) voient ainsi leur niveau de synthèse diminuer (les réductions observées peuvent aller jusqu'à un facteur 6), tandis que quatre subissent une augmentation [42]. Cette incapacité du cytoplasme zygotique à lever une répression des gènes s'exerçant dans un noyau de stade 8-cellules a été également observée par Henery et al. [26]. L'environnement nucléaire après l'activation majeure possède en effet des propriétés répressives de l'expression des gènes [45, 46, 74] avec association de l'ADN avec des facteurs répresseurs. Or le remodelage de cette structure chromatinienne et des interactions protéines-ADN est probablement relativement limité après transfert dans un cytoplasme zygotique, puisque le noyau transféré ne subit pas de gonflement significatif [4].

L'incapacité à exprimer les protéines à un niveau suffisant [42], l'absence de remaniement chromatinien significatif [4], l'absence d'assemblage des lamines A/C sur les noyaux transférés [57] concourent à faire du zygote énucléé un cytoplasme incapable de permettre une reprogrammation correcte, suffisante pour assurer le développement de

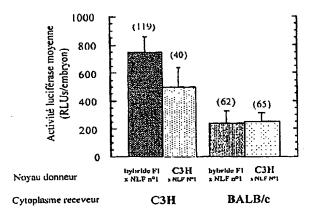


Figure 4. Influence de l'origine du noyau donneur sur le nivenu d'expression du promoteur *hsp70* après transfert chez la souris (d'après Chastant et al., 1996).

Un blastomère issu d'une morula provenant d'une femelle C3H ou hybride F1 (C57/CBA × C57/CBA) accouplée avec un mâle transgénique (NLF n° 1 : hsp70-luciférase) est transféré dans un cytoplasme zygotique issu d'un embryon collecté chez une femelle C3H ou BALB/c. Après reconstitution, l'activité luciférase est mesurée à 42 h post hCG. L'activité du promoteur hsp70 après transfert dépend uniquement de la nature du cytoplasme receveur et l'origine du noyau donneur n'exerce aucune influence.

l'embryon jusqu'à terme (ni chez la souris: [62], ni chez la vache: [63]). L'ovocyte en métaphase II semble apporter un environnement cytoplasmique beaucoup plus favorable (aussi bien chez la souris: [11, 36] que chez la vache: [7, 12]).

# RÔLE DU NOYAU DONNEUR DANS LA REPROGRAMMATION

Si un transfert massif de transcrits et de protéines a lieu du cytoplasme receveur vers le noyau donneur, un transfert d'ARN messagers s'effectue également de la cellule donneusc vers le cytoplasme receveur [50]: après transfert chez le porc, une protéine de 51 kDa est retrouvée traduite 12 heures après fusion dans les embryons reconstitués, même lorsque la transcription a été inhibée. On ne mesure pas encore l'importance quantitative et fonctionnelle de ce transfert d'information du noyau donneur vers le cytoplasme receveur dans l'efficacité du transfert de noyau.

Bien qu'ayant déjà subi une première fois l'influence de facteurs régulateurs maternels, le noyau donneur ne semble pas en conserver la mémoire après reprogrammation ([10] figure 4).

De même, des noyaux issus d'espèce très éloignée de celle du cytoplasme receveur semblent pouvoir être reprogrammés: l'action des blastomères de souris s'exerce de la même façon sur des cellules homospécifiques (murines), hétérospécifiques (cellule humaine ou aviaire) ou sur des cellules malignes [6] ; de même pour les ovocytes de xénope sur des cellules humaines HeLa [20]. Le transfert dans des ovocytes receveurs bovins de cellules de diverses autres espèces (mouton, porc, rat et singe) permet l'obtention de blastocystes (mais cependant sans naissance après transfert [16]). Néanmoins, l'état de différenciation semble influencer les chances de réussite du processus de reprogrammation, ainsi qu'en témoigne les moindres taux de développement obtenus avec des cellules très engagées dans la spécialisation (voir par exemple [75]).

#### CONCLUSION

Les différents aspects de l'activité transcriptionnelle, de la maturation et de la traduction des ARN apparaissent donc suivre dans les embryons reconstitués le même programme que celui qui déterminerait le début de l'expression des gènes dans les embryons normaux. Les exemples que nous venons de citer montrent que, globalement, le noyau donneur est modifié par des facteurs cytoplasmiques issus du cytoplasme receveur, de telle façon qu'il adopte un profil de développement et d'expression des gènes (en chronologie et en niveau) déterminé par ce cytoplasme receveur. La lecture du programme de développement est strictement sous l'influence des facteurs cytoplasmiques. Cependant, certains résultats suggèrent que la reprogrammation n'est pas complète et que l'expression des gènes dans les embryons reconstitués ne reproduit pas toujours fidèlement celle des embryons normaux. Les anomalies de développement fœtal et néonatal qui apparaissent après transfert de cellules somatiques (traitées dans le chapitre suivant par Pascale Chavatte-Palmer et al.) mettent en évidence la nécessité de mieux comprendre la reprogrammation en répondant en particulier aux questions suivantes: le noyau transféré repasse-t-il par le stade pronoyau? Le programme de développement est-il réinitialisé depuis son commencement? La reprogrammation est-elle acquise progressivement au cours du développement ou doit-elle être d'emblée complète pour permettre le développement? voire même, Une reprogrammation complète de l'expression de tous les gènes est-elle nécessaire pour que le développement se poursuive jusqu'à terme?

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